

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.  
-----

X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket No. 54867 & 54899  
:  
X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

CATHERINE HENRIQUEZ, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On March 2, 2017, I caused to be served the "Notice of Defective Transfer," dated March 2, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Catherine Henriquez  
Catherine Henriquez

Sworn to before me this

7<sup>th</sup> day of March, 2017

/s/ Regina Amporfro

Notary Public, State of New York

No. 01AM6064508

Qualified in Bronx County

Commission Expires September 24, 2017

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:  
LEHMAN BROTHERS HOLDINGS, INC., et al.

Chapter 11

Case No. 08-13555 (SCC)

(Jointly Administered)

Debtors.

**NOTICE OF DEFECTIVE TRANSFER**

Transferor: CASPIAN SC HOLDINGS L.P.  
ATTN: CHRIS MANZ  
CASPIAN CAPITAL LP  
767 FIFTH AVENUE, 45<sup>TH</sup> FLOOR  
NEW YORK, NY 10153

Transferee: DEUTSCHE BANK AG, LONDON BRANCH  
ATTN: RICH VICHADITH  
C/O DEUTSCHE BANK SECURITIES INC.  
60 WALL STREET  
NEW YORK, NY 10023

**Your transfer as presented is defective for the reason(s) checked below:**

- ☐ case closed on:  
☐ address missing: (transferor) (transferee)  
☐ signature of transferor missing  
☐ claim number in error  
☐ claim number not stated  
☐ amount of transfer missing in Transfer Agreement  
☐ not FRBP 3001(e)(2) OR (4); claim **NOT** on file  
☐ not FRBP 3001(e)(1) OR (3); claim **ON** file  
☐ notice amount does not match transfer agreement  
☐ cannot docket transfer-claim #  
    ☐ expunged by court order  
    ☐ previously transferred  
        By document #  
☐ other  
    (specify):

NOTE: This transfer was amended on Docket # 54892

**Docket Number: 54867**

/s/ Lauren Rodriguez  
Epiq Bankruptcy Solutions LLC  
as Claims Agent for the Debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on March 2, 2017

## **EXHIBIT B**

**LBH\_DN 54867\_3-2-17**

**LBH\_DN 54867\_3-2-17**

CASPIAN SC HOLDINGS L.P.  
ATTN: CHRIS MANZ  
CASPIAN CAPITAL LP  
767 FIFTH AVENUE, 45TH FLOOR  
NEW YORK, NY 10153

DEUTSCHE BANK AG, LONDON BRANCH  
ATTN: RICH VICHADITH  
C/O DEUTSCHE BANK SECURITIES INC.  
60 WALL STREET  
NEW YORK, NY 10023

**LBH\_DN 54899\_3-2-17**

**LBH\_DN 54899\_3-2-17**

BARCLAYS BANK PLC  
ATTN: KHALIL ABUMANNEH  
745 SEVENTH AVENUE  
NEW YORK, NY 10019

BKM HOLDINGS (CAYMAN) LTD.  
ATTN: JENNIFER DONOVAN  
520 MADISON AVENUE, 30TH FLOOR  
NEW YORK, NY 10022